



PATENT

HP/A3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHEN et al.

Assignee: Applied Materials, Inc.

Title: PLANARIZATION OF SUBSTRATES USING ELECTROCHEMICAL MECHANICAL POLISHING

Serial No.: 10/038,066

Filed: 01/03/2002 Group Art Unit: 2812

Atty. Docket: AMAT/5699/CMP/CMP/RKK Examiner: Unknown

COPY OF PAPERS
ORIGINALLY FILED

Santa Clara, Calif.
April 15, 2002

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on 01/03/2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. 3 Combined Declarations and Powers of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (8 pages)
2. Copy of "Notice to File Missing Parts of Application - Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 50-1074 (a duplicate of this document is enclosed):

<input checked="" type="checkbox"/> Filing Fee - Original Patent Application [37 CFR 1.16(a)]	\$740.00
<input checked="" type="checkbox"/> Fees for Claims:	
<input checked="" type="checkbox"/> each independent claim in excess of 3 [37 CFR 1.16(f)]	\$84.00
<input checked="" type="checkbox"/> each claim in excess of 20 [37 CFR 1.16(c)]	\$342.00
<input type="checkbox"/> each multiple dependent claim(s) [37 CFR 1.16(d)]	

[X] Late filing of original declaration or oath 37 CFR 1.16(e).

130.00

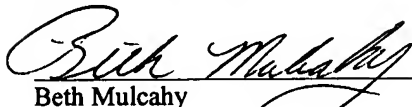
Total fees: **\$1,296.00**

[X] The Commissioner is hereby authorized to charge \$1,296.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

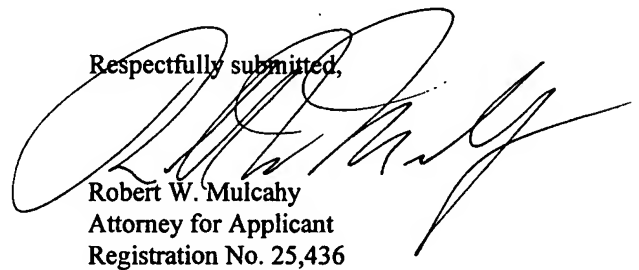
It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 01/03/2002. Please telephone the undersigned at (408)-563-2726 if there are any questions regarding this matter.

APPLIED MATERIALS, INC.
Legal Affairs Department
3050 Bowers Ave., MS/2634
Santa Clara, CA. 95054

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on April 15, 2002.


Beth Mulcahy

Respectfully submitted,


Robert W. Mulcahy
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Registration No. 25,436



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,066	01/03/2002	Liang-Yuh Chen	AMAT/5699/CMP/CMP/RKK

CONFIRMATION NO. 5741

FORMALITIES LETTER



OC000000007644447

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA 95052



COPY OF PAPERS
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Date Mailed: 03/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$426.
 - \$342 for 19 total claims over 20.
 - \$84 for 1 independent claims over 3.
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 1296.

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 BNGUYEN1 00000061 501074 10038066

01 FC:101	740.00	CH
02 FC:103	342.00	CH
03 FC:102	84.00	CH
04 FC:105	130.00	CH